

FACTS**WHAT DOES Mid Atlantic Capital Group of Companies and Associates DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and name/address
- financial information and investment objectives
- suitability information and investment experience

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customer's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer's personal information; the reasons Mid Atlantic chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Mid Atlantic share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes— to offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes— information about your creditworthiness	NO	N/A
For nonaffiliates to market to you	NO	N/A

Questions?

Call 800-693-7800 or go to www.macg.com

Who we are

Who is providing this notice?

Mid Atlantic Capital Group, Inc., Mid Atlantic Capital Corp., Mid Atlantic Financial Management, Inc., for additional Companies see below.

What we do

How does Mid Atlantic protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Mid Atlantic collect my personal information?

We collect your personal information, for example, when you

- open an account or transfer an account
- update your account or transact in your account
- Or from your registered representative regarding your preferences.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *These are: Mid Atlantic Capital Group, Inc., Mid Atlantic Capital Corporation, Mid Atlantic Financial Management, Inc., Mid Atlantic Trust Company, LPA Insurance Agency, Inc., GUA/Uniontown.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Our nonaffiliated service providers are obligated to keep the personal information we share with them confidential and use it only to provide services specified by Mid Atlantic. ***

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Mid Atlantic does not share personal information with unaffiliated third parties for use in marketing their products or services.*

Other important information

Additional Notice Providers: Mid Atlantic Trust Company, LPA Insurance Agency, Inc., Uniontown Capital Corporation, GUA/Uniontown Capital Corporation, Mid Atlantic Securities Partnership, Select Opportunity, LP, Mid Atlantic Properties Corporation and Pennsylvania Dithridge Associates.

** If you conduct business with Mid Atlantic through a nonaffiliated investment professional, we may exchange information we collect with your investment professional or with others they may authorize.